

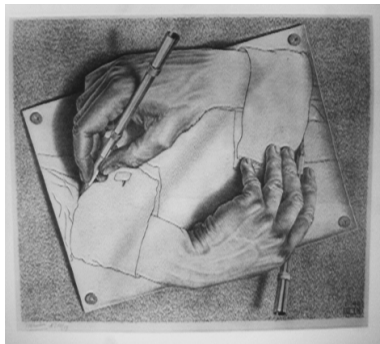
# Principles of Concurrent and Distributed Programming

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# Concurrency in Java



# Processes vs. Threads

## Multitasking

Many activities at once none of which “is aware” of the others (e.g., time slicing)

## Processes

Running programs with their own execution environment containing basic run-time resources e.g. the processes' **address space**.

## Threads

Sequential flows of control within a process (a process can consist of many **concurrent** threads)

*Threads are also known as lightweight processes because creating a new thread requires fewer resources than creating a new process. Threads “lives” within a process and can share the process's resources (e.g., memory, files). In general multi-threaded applications have a “main” thread which can create new threads.*

# Context switching

A (simplified) view of how processes interleave:

## Example program

Compute  $\sin(x)$  using Taylor expansion:  $\sin(x) = x - x^3/3! + x^5/5! - x^7/7! + \dots$   
for each element of an array of N floating-point numbers

```
void sinx(int N, int terms, float* x, float* result)
{
    for (int i=0; i<N; i++)
    {
        float value = x[i];
        float numer = x[i] * x[i] * x[i];
        int denom = 6; // 3!
        int sign = -1;

        for (int j=1; j<=terms; j++)
        {
            value += sign * numer / denom;
            numer *= x[i] * x[i];
            denom *= (2*j+2) * (2*j+3);
            sign *= -1;
        }

        result[i] = value;
    }
}
```

## Compile program

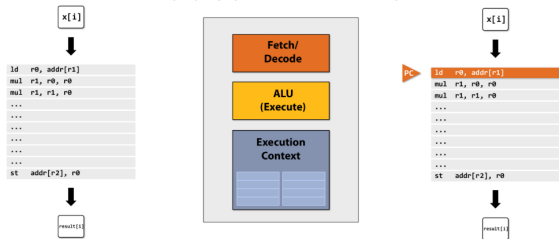
```
void sinx(int N, int terms, float* x, float* result)
{
    for (int i=0; i<N; i++)
    {
        float value = x[i];
        float numer = x[i] * x[i] * x[i];
        int denom = 6; // 3!
        int sign = -1;

        for (int j=1; j<=terms; j++)
        {
            value += sign * numer / denom;
            numer *= x[i] * x[i];
            denom *= (2*j+2) * (2*j+3);
            sign *= -1;
        }

        result[i] = value;
    }
}
```

## Execute program

My very simple processor: executes one instruction per clock



Borrowed from <https://maxnilz.com/docs/006-arch/001-cpu-basics/>



# Programming with threads

From [Eck02]

Concurrent programming is like stepping into an entirely new world and learning a new programming language, or at least a **new set of language concepts**. With the appearance of thread support in most microcomputer operating systems, extensions for threads have also been appearing in programming languages or libraries. In all cases, thread programming:

- Seems **mysterious** and requires a shift in the way you think about programming
- Looks similar to thread support in other languages, so **when you understand threads, you understand a common tongue**.

[...] threads are tricky.

# Concurrency and Java OO

## Some documentation

<https://docs.oracle.com/javase/tutorial/essential/concurrency/index.html>

There is no general approach to concurrent programming.

## Some rule of thumb

Java's "style" suggests

- to individuate active and passive objects
  - an active object is basically an object representing a thread
  - a passive object represents a resource that can be concurrently accessed by active objects
- Reason about how objects "interacts"
  - how does active objects' execution interleave?
  - how do active objects access shared resources?
- Acquire/release policy
  - in which order active objects acquire shared resources?
  - under which conditions shared resources can be invoked?
  - do active objects release all the acquired resources when they are not any longer needed?

# Threads in Java

- **Runnable**: interface (method **run()** to be implemented)
- **Thread**: class (implements **Runnable**, **run()** is just empty)
  - Constructors
    - **Thread()**
    - **Thread(Runnable target)**
    - **Thread(Runnable target, String name)**
    - **Thread(String name)**
    - ... (see the Java thread API)
  - **start**, **sleep**, **yield**
  - **interrupt**

“When something has a **Runnable** interface, it simply means that it has a **run()** method, but there’s nothing special about that –it doesn’t produce any innate threading abilities, like those of a class inherited from **Thread**.” [Eck02]

To create and run Java thread from a **Runnable** object:

- create the **Runnable** object
- use the special **Thread** constructors with runnable objects
- run the thread by invoking its **start()** method (which performs some initialisations and then calls the **run()** method)

## Some examples

### A simple scenario

Write a program that

- decides if staff is worth a promotion according to their state of service
- prints a report about the decision

Let's consider some solutions

- introducing some Java primitives for threads
- and showing how tricky concurrency can be

# Some examples

## A simple scenario

Write a program that

- decides if staff is worth a promotion according to their state of service
- prints a report about the decision

Let's consider some solutions

- introducing some Java primitives for threads
- and showing how tricky concurrency can be

## Don't do this at home!

- `PromotionConcurrent.java`: a first attempt
- `PromotionMoreConcurrent.java`: an improved version
- `loC.java`: pausing threads

# Controlling threads

`interrupt()`: interrupts the thread on which it is invoked

`yield()`: Occasionally, a thread can decide to “give a hint to the thread scheduling mechanism” ([Eck02]) that it is keen to pass the control to another thread. In Java this is done by invoking the `yield()` method from `run`.

`join()`: when invoked on a thread object, the invoking thread waits for the first thread to complete before proceeding (there is also a version with timeout). `join()` must be within a try-catch statement because an `interrupt()` signal can abort the calling thread.

`isAlive()`: returns ‘true’ if the thread is running.

# Mutual exclusion in Java

The mechanism that is offered by Java is method synchronisation

- Synchronised Methods can prevent thread interference and memory consistency errors
- Synchronisation based on (implicit) locks

The `synchronized` modifier can be used in method declarations or for determining critical sections.

- A method declared `synchronized` cannot be invoked while another synchronised method is executing
- (hence) If more than 2 threads try to invoke a synchronised method, only one of them actually access the object, while the other is blocked
- `synchronized(obj){stm}`: acquires the lock on `obj`, executes `stm` and releases the lock; `stm` is the critical section on the shared resource `obj`

# Semaphores in java

```
public class Semaphore {  
    private int counter = 0;  
    private int threshold = 0;  
    public Semaphore(int counter) { this.counter = counter; }  
  
    public synchronized void P() {  
        while(this.counter <= threshold) {  
            try {  
                this.wait();  
            } catch (InterruptedException e) {  
                e.printStackTrace();  
            }  
        }  
        this.counter--;  
    }  
  
    public synchronized void V() {  
        this.counter++;  
        if ( this.counter - 1 == threshold )  
            // this.notifyAll();  
            this.notify();  
    }  
}
```



# Monitors in Java

It is important to remind that waiting threads must be notified before releasing the shared object

- `public final void wait()` throws `InterruptedException`
  - The thread is suspended and it is put on the object waiting list
- `public final void wait(long timeout)` throws `InterruptedException`
  - The thread is suspended until another wakes it up or until the time is elapsed
- `public final void notify()`
  - Chooses and Wakes up a single thread among those waiting on the object monitor.
  - Which thread is chosen depends on the implementation of the JVM
  - This method should only be called by the “owner thread”, namely the one which is
    - executing a synchronized statement that synchronizes on the object
    - executing the body of a synchronized statement that synchronizes on the object
  - Throws: `IllegalMonitorStateException` - if the current thread does not own the object
- `public final void notifyAll()`
  - Like `notify`, but awakes all the waiting threads

# Remote Method Invocation in Java

[https://docs.oracle.  
com/en/java/javase/2  
4/docs/specs/rmi/int  
ro.html](https://docs.oracle.com/en/java/javase/24/docs/specs/rmi/intro.html)

# RPC vs RMI

Remote Method Invocation (RMI) is the Java correspondent of RPC.

- Instead of remote procedure calls, RMI implements remote method calls (i.e., calls of methods of remote objects)
- a key difference between RPC and Java RMI is that the latter allows Java objects to communicate, while the former provides, in general, a communication middleware for programs written in different languages
- RPC can be seen as a very primitive form of message oriented middleware and is data oriented. Java RMI, on the contrary, you can communicate objects, namely data & behaviour!

## Remark

Observe that Java RMI allows objects running in a JVM to invoke methods of (Java) objects running in a different JVM

## Distributed Objects: some terminology

- Distributed object : an object whose methods can be remotely invoked. A distributed object is provided, or exported by the object server .
- Remote method : a public method of a distributed object.
- Object registry : is the equivalent of the RPC name server. Namely, it is used by object servers to register their services and by object clients to look up for service references.
- Client/server proxy : is the equivalent of client/server stubs in RPC. Object clients call a remote method appear direct at the programmer level. However,
  - on the client host, the client proxy interacts with the software providing runtime support for the distributed object system
  - the runtime support transmits the actual call to the remote host (it also marshals the parameter to be transmitted)
  - similarly, on the object server side, the runtime support for the distributed object system handles the incoming messages (and their unmarshalling), and forwards the call to the server proxy

# Java RMI: the first step

In Java:

- remote objects are those objects extending the `java.rmi.Remote` remote interface .  
Basically, interfaces play the role of ID, hence the IDL of Java RMI is `java.rmi.Remote`
- the object server
  - implements a remote interface
  - generates stub and skeleton
  - register a distributed object implementing the interface
- An object client accesses the object by invoking the remote methods associated with the objects using syntax provided for remote method invocations

## Remark

Within RMI, remote objects are treated differently from non-remote objects. For instance, what RMI actually passes when a remote object reference `r_obj` is sent to a remote object is a remote stub for `r_obj`. The stub acts as the local proxy for `r_obj` so that the caller is unaffected and calls `r_obj` via its stub.

## Java RMI: the second step

Applications relying on distributed object must:

- Locate remote objects
  - by passing remote object references or
  - by using the object registry
- Communicate with remote objects
- Load class bytecodes for objects passed around: since RMI allows a caller to pass objects within calls to remote methods, RMI yields the necessary mechanisms for loading an object's code and for transmitting its data.

### Remark

One of the central features of RMI is the possibility of dynamically downloading bytecodes of the class of an object when it is not defined in the caller's JVM. Basically, the types and the behavior of an object can be transmitted to possibly remote JVMs. RMI guarantees that the behavior of objects remains unchanged when they are sent to another JVM and allows new types to be introduced into a remote virtual machine, so that an application can be dynamically extended .

# Creating Distributed Applications Using RMI

Using RMI to develop a distributed application requires you to follow these general steps:

- ① Design and implement the distributed application components
- ② Compile sources and generate stubs
- ③ Make classes network accessible: In this step you make everything—the class files associated with the remote interfaces, stubs, and other classes that need to be downloaded to clients—accessible via a Web server.
- ④ Start the application: Starting the application means to run:
  - ① the RMI remote object registry
  - ② the server
  - ③ the client

# 1. Design and implement the distributed application components

First, give an initial architecture for your application (this might require some revision at a later stage) and determine which components are local objects and remote objects .

This phase consists of:

- remote interfaces definition : this specifies the remote methods When designing remote interfaces you have to determine any local objects that will be used as parameters and return values for these methods
- remote objects implementation : generally, remote objects have to implement several remote interfaces (of course, the remote object class may implements other non-remote interfaces and define methods available only locally). Any local classes used in remote method invocations (as parameters or return values) must be implemented.
- clients implementation : clients invoking remote objects can be implemented at any time after the definition of remote interfaces or after deployment of remote objects.



## 2. Compile sources and generate stubs

This phase has two steps:

- use `javac` to compile the server classes (those implementing remote interfaces) and the client classes
- use `rmic` compiler in order to create stubs for remote objects.

### Remark

The Java `rmic` compiler generates the stubs, namely, the programmer does not have to program client and server proxies and low lever programming detail.

# Java remote interface

- In a remote interface each method signature must throw `RemoteException`. Other than this, a remote interface has the same syntax as any other Java interface.
- `RemoteException` exception is raised if errors occur when processing remote method call. The exception must be caught by the caller.
- `RemoteException` can be caused
  - by exceptions that may occur during communications (e.g., access or connection failures)
  - by problems in remote method invocations (e.g., errors resulting from object, stub, or skeleton not being found)

An example:

```
import java.rmi.*;
```

```
public interface ARemoteInterface extends Remote {  
    String aRemoteMethod1( ... ) throws RemoteException;  
    int aRemoteMethod2( ... ) throws RemoteException;  
}
```

## An example: the compute engine

The compute engine is a protocol to execute tasks on a remote engine. This protocol is based on interfaces supported by the compute engine and by the objects that are submitted to the compute engine.

The remotely accessible part is the compute engine itself, whose remote interface has a single method:

```
import java.rmi.Remote;  
import java.rmi.RemoteException;  
/*  
 * The 2 lines above can be replaced by  
 * import java.rmi.*;  
 */  
public interface Compute extends Remote {  
    public Object executeTask(Task t)  
        throws RemoteException;  
}
```

By extending `java.rmi.Remote`, the interface `Compute` allows its method to be called from any JVM. Any object implementing `Compute` becomes a remote object.

Notice that `executeTask`

- takes a `Task`
- can return any `Object`
- throws `RemoteException`

## An example: the compute engine (2)

An interface for Task objects must be defined.

```
import java.io.Serializable;
```

```
public interface Task extends Serializable {
```

```
    public Object execute();
```

```
}
```

Different kinds of tasks can be run by a Compute object provide that they implementat Task. It is possible to add further methods (or data) needed for the computation of the task.

### Exercise

execute is not required to throw `RemoteException`. Why?

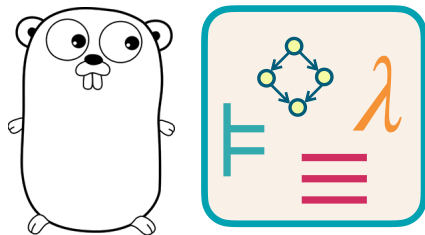
### Remark

The Task interface extends the `java.io.Serializable` interface to let the RMI middleware serialise objects so that they can be transported from a JVM to another.

Implementing `Serializable` marks the class as being capable of conversion into a self-describing byte stream that can be used to reconstruct an exact copy of the serialized object when the object is read back from the stream.

This implies that local objects are passed by-value while remote objects are passed by-reference .

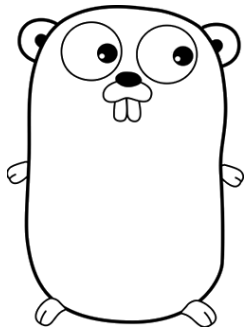
# Channel-based concurrency



slides are courtesy of R. Bruni and F. Bonchi

# Google Go

`http://golang.org/`



# Go features

facilitate building reliable and efficient software

open source

compiled, garbage collected

functional and OO features

statically typed (light type system)

concurrent

# Go principles

C, C++, Java:

too much typing (writing verbose code)

and too much typing (writing explicit types)  
(and poor concurrency)

Python, JS:

no strict typing, no compiler issues

runtime errors that should be caught statically

Google Go:

compiled, static types, type inference

(and nice concurrency primitives)



# Go project

designed by Ken Thompson, Rob Pike, Robert Griesemer

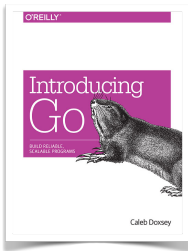
2007: started experimentation at Google

nov 2009: first release (more than 250 contributors)

may 2012: version 1.0 (two yearly releases since 2013)

feb 2025: version 1.24.0

C. Doxsey, Introducing Go (2016). Ch: 1-4, 6-7, 10



# Go concurrency

any function can be executed in a separate lightweight thread

```
go f(x)
```

goroutines run in the same address space

package sync provides basic synchronisation primitives

programmers are encouraged NOT TO USE THEM!

*do not communicate by sharing memory  
instead, share memory by communicating*

use built-in high-level concurrency primitives:

**channels** and **message passing**

(inspired by process algebras)

# Go channels

channels can be created and passed around

```
var ch = make(chan int)
```

creates a channel for transmitting integers

```
ch1 = ch
```

aliasing: ch1 and ch now refers to the same channel

```
go f(ch)
```

```
go g(ch)
```

f and g share the channel ch

# Directionality

channels are always created bidirectional

```
var ch = make(chan int)
```

channel types can be annotated with directionality

```
var rec <-chan int
```

rec can only be used to receive integers

```
var snd chan<- int
```

snd can only be used to send integers

```
rec = ch  
snd = ch
```

are valid assignments

```
rec = snd // invalid!
```

# Go communication

to send a value (like *ch!2*)

```
ch <- 2
```

to receive and store in x (like *ch?x*)

```
x = <- ch
```

to receive and throw the value away

```
<- ch
```

to close a channel (by the sender)

```
close(ch)
```

to check if a channel has been closed (by the receiver)

```
x,ok = <- ch // either value,true or 0,false
```

# Go sync communication

by default the communication is **synchronous**

BOTH send and receive are BLOCKING!

asynchronous channels can be created  
by allocating a buffer of fixed size

```
var ch = make(chan int, 100)
```

creates an **asynchronous channel** of size 100

receive on asynchronous channel is of course still blocking  
send is blocking only if the buffer is full

no dedicated type for asynchronous channels:  
buffering is a property of values not of types

# Go communication

to choose between different options

```
select {  
    case x = <- ch1: { ... }  
    case ch2 <- v: { ... }  
    // both send and receive actions  
    default: { ... }  
}
```

the selection is made pseudo-randomly among enabled cases

if no case is enabled, the default option is applied

if no case is enabled, and no default option is given  
the select blocks until (at least) one case is enabled

# Example

non-blocking receive

```
select {  
  case x = <- ch: { ... }  
  default: { ... }  
}
```

receives on x from ch, if data available  
otherwise proceeds



# Example

wait for first among many (senders)

```
select {  
  case x = <- ch1: { ... }  
  case x = <- ch2: { ... }  
  case x = <- ch3: { ... }  
}
```

receives on x from any of ch1, ch2, ch3, if data available  
otherwise waits

# Example

wait for first among many (receivers)

```
select {  
  case ch1 <- v : { ... }  
  case ch2 <- v : { ... }  
  case ch3 <- v : { ... }  
}
```

sends *v* to any of *ch1*, *ch2*, *ch3*, if available to receive  
otherwise waits

# Hello concurrency

```
1 package main
2
3 func main() {
4     println("Hello")
5     println("World")
6 }
7
```

Hello  
World

Program exited.

# Hello concurrency

```
1 package main
2
3 func main() {
4     // launch a goroutine
5     go println("Hello")
6     println("World")
7     // Hey, what happens? Where is Hello?
8     // (when main ends all its goroutines are terminated)
9 }
```

World

Program exited.

# Hello concurrency

```
1 package main
2
3 import "time"
4
5 func main() {
6     // launch a goroutine
7     go println("Hello")
8     println("World")
9     time.Sleep(1000)
10    // Here is Hello!
11 }
12
```

World  
Hello

Program exited.

# Hello concurrency

```
1 package main
2
3 // let's sync on a channel
4 func main() {
5     done := make(chan bool)
6     // launch a goroutine
7     go func() {
8         println("Hello")
9         done <- true // send value true on channel done
10    }()
11    println("World")
12    // wait on channel done, ignore received value
13    <-done
14 }
15
```

World  
Hello

Program exited.

# Hello concurrency

```
1 package main
2
3 // Hello takes a channel for exchanging booleans
4 func Hello(done chan bool) {
5     println("Hello")
6     done <- true // send value true on channel done
7 }
8
9 func main() {
10     // create a channel for sending booleans
11     done := make(chan bool)
12     go Hello(done) // launch a goroutine
13     println("World")
14     // wait on channel done, ignore received value
15     <-done // receive a value from channel done
16     // this way World may get printed before Hello
17 }
18
```

World  
Hello

Program exited.

# Hello concurrency

```
1 package main
2
3 // Hello takes a channel for exchanging booleans
4 func Hello(done chan bool) {
5     println("Hello")
6     done <- true // send value true on channel done
7 }
8
9 func main() {
10     done := make(chan bool)
11     go Hello(done)
12     <-done
13     // this way Hello gets printed before World
14     println("World")
15 }
16
17
```

Hello  
World

Program exited.



# Hello deadlocks

```
1 package main
2
3 func main() {
4     c := make(chan int) // create a channel for sending integers
5     c <- 245             // send 245 (but sending is blocking!)
6     n := <-c             // receive from c and store the value in n
7     println(n)
8 }
9
```

fatal error: all goroutines are asleep - deadlock!

goroutine 1 [chan send]:

main.main()

/tmp/sandbox4275027505/prog.go:5 +0x2d

Program exited.

# Buffering

```
1 package main
2
3 func main() {
4     c := make(chan int, 1) // create a buffered channel for sending integers
5     c <- 245                // send 245 (now sending is not blocking!)
6     n := <-c                // receive from c and store the value in n
7     println(n)
8 }
9
```

245

Program exited.

# Communicating goroutines

```
1 package main
2
3 func main() {
4     c := make(chan int)
5     // do the sending in an anonymous goroutine
6     go func() {
7         c <- 245
8     }()
9     n := <-c
10    println(n)
11 }
12
13
```

245

Program exited.

# Communicating goroutines

```
1 package main
2
3 func main() {
4     c := make(chan int)
5     // do the sending in an anonymous goroutine
6     go func() {
7         c <- 245
8     }()
9     // avoid to use variable n
10    println(<-c)
11 }
12
13
```

245

Program exited.

# Name mobility

channels can be sent over channels (like in  $\pi$ -calculus)

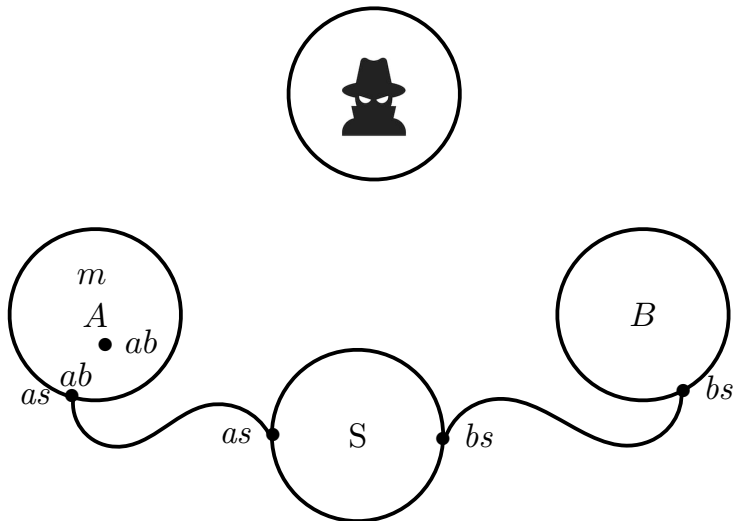
```
var mob = make(chan chan int)
```

a channel for communicating channels

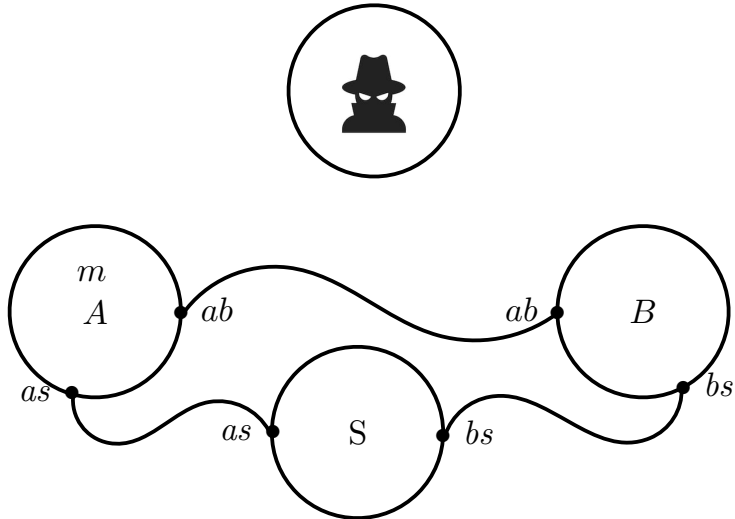
```
mob <- ch
```

send the channel `ch` over `mob`

# Name mobility: secrecy



# Name mobility: secrecy



# Name mobility

```
func main() {
    as, bs := Serv() // launch server, get secure channels
    go A(as)          // launch A
    B(bs)             // run B
}

// returns a pair of channels for communicating to the server
func Serv() (as chan chan int, bs chan chan int) {
    // create two channels
    // for sending names of channels for sending integers
    as = make(chan chan int)
    bs = make(chan chan int)
    // launch a goroutine for serving requests
    go func() {
        for {
            // forward messages from as to bs
            c := <-as
            bs <- c
        }
    }()
    return // naked return
}
```



# Name mobility

```
// for N times:
//   creates a channel ch
//   sends the channel to the server on as
//   sends an integer on ch
func A(as chan chan int) {
    for i := 0; i < N; i++ {
        ch := make(chan int)
        fmt.Printf("created %v (%T) for sending %v\n", ch, ch, i)
        as <- ch // send ch to the server
        ch <- i  // send i on ch
    }
}

// for N times:
//   receives a channel ch from the server
//   receives an integer on ch
func B(bs chan chan int) {
    for i := 0; i < N; i++ {
        ch := <-bs
        n := <-ch
        fmt.Printf("received %v on %v\n", n, ch)
    }
}
```

# Name mobility

```
1 package main
2
3 import "fmt"
4
5 const N = 3
6
7 // returns a pair of channels for communicating to the server
8 func Serv() (as chan chan int, bs chan chan int) {
9     // create two channels
10    // for sending names of channels for sending integers
11    as = make(chan chan int)
12    bs = make(chan chan int)
13    // launch a goroutine for serving requests
```

```
created 0xc000076150 (chan int) for sending 0
received 0 on 0xc000076150
created 0xc0000761c0 (chan int) for sending 1
received 1 on 0xc0000761c0
created 0xc000076230 (chan int) for sending 2
received 2 on 0xc000076230
```

Program exited.

# Closing channels

```
// for N times
//   creates a channel ch
//   sends the channel to the server on as
//   sends an integer on ch
// then closes the communication with the server
func A(as chan chan int) {
    for i := 0; i < N; i++ {
        ch := make(chan int)
        fmt.Printf("created %v (%T) for sending %v\n", ch, ch, i)
        as <- ch // send ch to the server
        ch <- i  // send i on ch
    }
    close(as) // close channel as shared with server
}

// while bs has not been closed
//   receives a channel ch from the server
//   receives an integer on ch
func B(bs chan chan int) {
    // until bs is active
    for ch, ok := <-bs; ok; ch, ok = <-bs {
        n := <-ch
        fmt.Printf("received %v on %v\n", n, ch)
    }
    println("done")
}
```

# Closing channels

```
// returns a pair of channels for communicating to the server
func Serv() (as chan chan int, bs chan chan int) {
    // create two channels
    // for sending names of channels for sending integers
    as = make(chan chan int)
    bs = make(chan chan int)
    // launch a goroutine for serving requests
    go Fwd(as, bs)
    return // naked return
}

func Fwd(as chan chan int, bs chan chan int) {
    // until as is active
    for c, ok := <-as; ok; c, ok = <-as {
        // forward messages from as to bs
        bs <- c
    }
    close(bs) // close channel bs shared with B
}
```

# Closing channels

```
1 package main
2
3 import "fmt"
4
5 const N = 3
6
7 func main() {
8     as, bs := Serv() // launch server, get secure channels
9     go A(as)          // launch A
10    B(bs)              // run B
11 }
12
13 // returns a pair of channels for communicating to the server
```

```
created 0xc00009e150 (chan int) for sending 0
created 0xc00009e1c0 (chan int) for sending 1
received 0 on 0xc00009e150
received 1 on 0xc00009e1c0
created 0xc00009e230 (chan int) for sending 2
received 2 on 0xc00009e230
done
```

Program exited.

# Actor-based concurrency



wslides are courtesy of R. Bruni and F. Bonchi



**<http://www.erlang.org/>**



# Erlang: origins

named after Danish mathematician A. K. Erlang

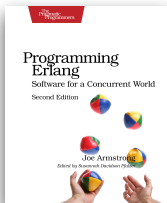
1986: first experimentation at Ericsson, Sweden

1989: internal use only

1990: sold as a product

1998: open source

Joe Armstrong, “Programming Erlang”, ch.1-5, 11-12





# Features

declarative (functional, Prolog) programming

arbitrary size integers, tuples, lists, functions, higher-order

atoms everywhere

dynamically typed

open source

unfriendly syntax

variables are assigned only once

left-to-right evaluation, no pointers, no object-orientation

# Features: concurrency

concurrent and distributed programming

asynchronous message passing  
(no locks, no mutexes)

fault tolerance

hot swapping code

erlang processes are cheap

automatic memory allocation and garbage collection

can handle large telecom applications

Erl

# Erlang: erl

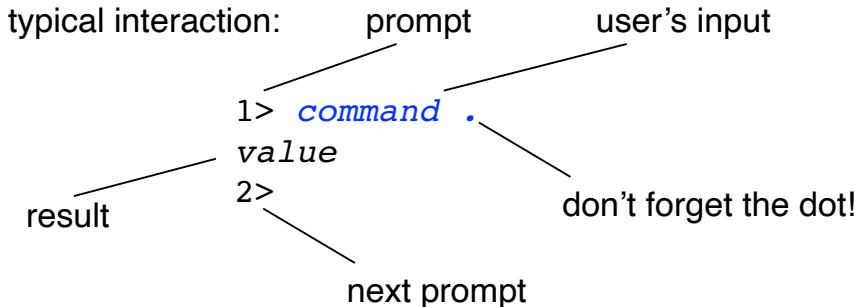
erl is the Erlang VM emulator

interactive shell or interpreter, executing read-eval-print loop

programmers enter expressions / declarations one at a time

they are compiled / executed

# erl expressions



`halt()` . to exit the emulator

# Erlang modules

functions are organised in modules

one module for source file

filename is module name with suffix .erl

a comment

arity

declarations end with a dot

```
% filename hello.erl
-module(hello).
-export([hello/0]).

hello() -> io:format("Hello, world!~n").
```

function def

module name

separator

function name

argument

# erl: module loading

Diagram illustrating Erlang module loading and function invocation:

- `1> c(hello) .` is annotated with "compile and load the module".
- `{ok, hello}` is annotated with "return value".
- `2> hello:hello() .` is annotated with "invoke the function".
- `Hello, world!` is the output of the function call.
- `ok` is the return value of the function call.
- `3>` is annotated with "next prompt".

if you edit `hello.erl` and do `c(hello)` again  
the new version of the module replaces the old one

# Erlang basics



# Function definition

separates function clauses with ;  
last clause ends with .

variables start with upper-case letters X Head Tail  
variables are local to function clauses

function definitions cannot be nested  
non-exported functions are local to the module

pattern matching allowed

guards allowed (keyword when)

type-checking is done at runtime

# Atoms, tuples, lists

numbers: arbitrary size integers, floating point values  
(cannot start with .)

atoms: start with lower-case character  
(can be single-quoted if needed, don't use camelCase)  
`true ok hello_world. 'this is an atom'`

tuples: main data constructor  
tagged tuples: the first element of the tuple is an atom  
we can use pattern matching  
`{}` `{movie,"Matrix"}` `{movie,Title}`

lists: can contain elements of any type  
we can use pattern matching  
`[]` `[1,2,ok]` `[H|T]` `[X,Y,Z]` `[X,Y,Z| Tail]`

# Funs

funs: anonymous functions (lambda expressions)  
can have several arguments and clauses

```
fun () -> 42 end
```

```
fun (X) -> X+1 end
```

```
fun (X,Y) -> {X, fun (Z) -> Z+Y end} end
```

```
fun (F,X) -> F(X) end
```

# Type test & conversion

```
is_integer(X)  
is_float(X)  
is_number(X)  
is_atom(X)  
is_tuple(X)  
is_list(X)  
is_function(X)  
is_pid(X)  
...
```

```
atom_to_list(A)  
list_to_atom(L)  
tuple_to_list(T)  
list_to_tuple(L)  
...
```

# Erlang concurrency

# Processes

every Erlang code is executed by a process

processes are implemented by the VM (not by OS threads)

multitasking is preemptive (VM switching and scheduling)

processes need very little memory

switching between processes is very fast

the VM can handle a large number of processes

on multiprocessor/multicore machines, processes can be scheduled to run in parallel on separate CPUs/cores using multiple schedulers

different processes may be reading the same program code at the same time (no variable updates!)

# Pids

each process has a process identifier

```
Pid = self()
```

new Erlang processes can be spawned to run functions

```
Pid = spawn(module, function, arguments)
```

```
Pid = spawn(fun () -> ... end)
```

```
Pid = spawn(fun f/0)
```

```
Pid = spawn(fun m:f/0)
```

the spawn operation returns immediately  
(the return value is the pid of the process)

children pids are available to parent process,  
not vice versa (unless passed)

# Communication

Messages can be sent to pids

*Pid ! message*



called bang

Processes can wait to receive (and select) some message

receive

*Pattern1* when *Cond1* -> *Exp1*;

*Pattern2* when *Cond2* -> *Exp2*;

...

*Patternk* when *Condk* -> *Expk*

end



# Communication

Messages can be sent to pids

*Pid* ! {1,2,3}



called bang

Processes can wait to receive (and select) some message

receive

{X} when  $X > 0 \rightarrow X$ ;

{X,Y} when  $Y > X \rightarrow X+Y$ ;

{X,Y,Z} when  $Y > X$  and also  $Z > Y \rightarrow X+Z$ ;

end

First matching clause for first message,  
if none, first matching clause for second message,  
if none, ...  
if none it blocks (all messages are kept)

# Communication

Messages can be sent to pids

*Pid* ! {1,2,3}

called bang

Processes can wait to receive (and select) some message

receive

{X} when  $X > 0 \rightarrow X;$

{X,Y} when  $Y > X \rightarrow X+Y;$

{X,Y,Z} when  $Y > X$  and also  $Z > Y \rightarrow X+Z;$

            $\rightarrow 0$

end

First matching clause for first message  
(the last clause, called **catch-all**, will match anyway)

# Communication

Messages can be sent to pids

*Pid* ! {1,2,3}

called bang

Processes can wait to receive (and select) some message

receive

{X} when  $X > 0 \rightarrow X$ ;

{X,Y} when  $Y > X \rightarrow X+Y$ ;

{X,Y,Z} when  $Y > X$  and also  $Z > Y \rightarrow X+Z$ ;

after 0  $\rightarrow 0$

end

timeout

First matching clause for first message,  
if none, first matching clause for second message,  
if none, ...  
if none it evaluates to 0 (all messages are kept)

# Message passing

receive ... end



*Pid* ! *message*



# Message passing

messages are sent asynchronously  
(the sender continues immediately)

any value can be sent as a message

each process has a message queue (mailbox)  
no size limit, messages are kept until extracted

a message is received when it is extracted from the mailbox

messages are ordered from oldest to newest in the mailbox

the message that is extracted is not necessarily the oldest  
(pattern matching can be used, if there is no match  
the receiver suspends and keeps waiting)

# Reply

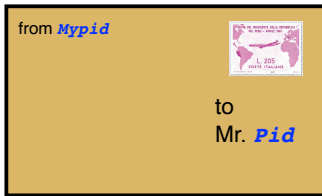
To reply a message, its sender must be known

its pid can be inserted in the message

syntax for tuples

*Pid* ! { *Mypid* , *message* }

now the receiver *Pid* can reply to *Mypid*



# erl session

```
26 %% EXAMPLE: permutations
27
28 perms([]) -> [[]];
29 perms(L) -> [[H|T] || H <- L, T <- perms(L--[H])].
```

```
99> c(recursion).
recursion.erl:2:2: Warning: export_all flag enabled - all functions
will be exported
{ok,recursion}
100> recursion:perms("abc").
["abc","acb","bac","bca","cab","cba"]
101> recursion:perms("abcdef").
["abcdef","abcdfe","abcedf","abcefd","abcfde","abcfed",
"abdcef","abdcfe","abdecf","abdefc","abdfce","abdfec",
"abecdf","abecfd","abedcf","abedfc","abefcd","abefdc",
"abfcde","abfced","abfdce","abfdec","abfecd","abfedc",
"acbdef","acbdfe","acbedf","acbefd",
[...]|...]
```

# erl session

```
32 %% EXAMPLE: length of a list
33
34 len([]) -> 0;
35 len([_|T]) -> 1 + len(T).
36
37 tail_len(L) -> tail_len(L,0).
38
39 tail_len([],Acc) -> Acc;
40 tail_len([_|T],Acc) -> tail_len(T,Acc+1).
```

```
42 %% EXAMPLE: replicate
43
44 replicate(0,_) -> [];
45 replicate(N,Term) when N > 0 -> [Term|replicate(N-1,Term)].
46
47 tail_replicate(N,Term) -> tail_replicate(N,Term, []).
48
49 tail_replicate(0,_,List) -> List;
50 tail_replicate(N,Term,List) when N > 0 -> tail_replicate(N-1, Term, [Term|List]).
```

```
52 %% EXAMPLE: reverse
53
54 reverse([]) -> [];
55 reverse([H|T]) -> reverse(T)++[H].
56 % costs too much!!
57
58 tail_reverse(L) -> tail_reverse(L, []).
59
60 tail_reverse([],Acc) -> Acc;
61 tail_reverse([H|T],Acc) -> tail_reverse(T, [H|Acc]).
```



[**Ex. 1**] Write a server in erlang to convert temperatures from Celsius degrees to Fahrenheit degrees and vice versa, using the formula  $F = 1.8C + 32$ . The server receives requests of the form  $(Pid, \mathbf{cs}, C)$  or  $(Pid, \mathbf{ft}, F)$  and replies to  $Pid$  by sending messages in analogous format. The server can be stopped by sending the message **stop**. All the other messages are ignored. Spawn a copy of the server, send it some temperatures to convert, check out the results and stop the server.

# Ex. 1, temp converter

```
-module(ex1).  
-export([convert/0]).
```

```
convert() ->  
    receive
```

```
end.
```

# Ex. 1, temp converter

```
-module(ex1).  
-export([convert/0]).
```

```
convert() ->  
    receive  
        {Pid,cs,C} ->
```

```
end.
```

# Ex. 1, temp converter

```
-module(ex1).  
-export([convert/0]).  
  
convert() ->  
    receive  
        {Pid,cs,C} -> Pid ! {self(),ft,(1.8 * C) + 32},  
                               convert();  
  
end.
```

# Ex. 1, temp converter

```
-module(ex1).  
-export([convert/0]).  
  
convert() ->  
  receive  
    {Pid,cs,C} -> Pid ! {self(),ft,(1.8 * C) + 32},  
                      convert();  
    {Pid,ft,F} ->  
  
end.
```

# Ex. 1, temp converter

```
-module(ex1).  
-export([convert/0]).  
  
convert() ->  
  receive  
    {Pid,cs,C} -> Pid ! {self(),ft,(1.8 * C) + 32},  
                      convert();  
    {Pid,ft,F} -> Pid ! {self(),cs,(F - 32) / 1.8},  
                      convert();  
  
  end.
```

# Ex. 1, temp converter

```
-module(ex1).  
-export([convert/0]).  
  
convert() ->  
  receive  
    {Pid,cs,C} -> Pid ! {self(),ft,(1.8 * C) + 32},  
                      convert();  
    {Pid,ft,F} -> Pid ! {self(),cs,(F - 32) / 1.8},  
                      convert();  
    stop -> true;  
  
end.
```

# Ex. 1, temp converter

```
-module(ex1).  
-export([convert/0]).  
  
convert() ->  
  receive  
    {Pid,cs,C} -> Pid ! {self(),ft,(1.8 * C) + 32},  
                      convert();  
    {Pid,ft,F} -> Pid ! {self(),cs,(F - 32) / 1.8},  
                      convert();  
    stop -> true;  
    _ ->  
  end.
```



# Ex. 1, temp converter

```
-module(ex1).  
-export([convert/0]).  
  
convert() ->  
  receive  
    {Pid,cs,C} -> Pid ! {self(),ft,(1.8 * C) + 32},  
                      convert();  
    {Pid,ft,F} -> Pid ! {self(),cs,(F - 32) / 1.8},  
                      convert();  
    stop -> true;  
    _ -> convert()  
  end.
```

# Ex. 1, temp converter

Eshell V10.2.1 (abort with ^G)

1> c(ex1).

{ok,ex1}

2>

# Ex. 1, temp converter

```
Eshell V10.2.1 (abort with ^G)
1> c(ex1).
{ok,ex1}
2> Conv = spawn(ex1,convert,[ ]).
<0.84.0>
3>
```

# Ex. 1, temp converter

```
Eshell V10.2.1 (abort with ^G)
1> c(ex1).
{ok,ex1}
2> Conv = spawn(ex1,convert,[ ]).
<0.84.0>
3> Conv ! {self(),cs,23}.
{<0.77.0>,cs,23}
4>
```

# Ex. 1, temp converter

Eshell V10.2.1 (abort with ^G)

1> **c**(ex1).

{ok,ex1}

2> **Conv** = spawn(ex1,convert,[]).

<0.84.0>

3> **Conv** ! {self(),cs,23}.

{<0.77.0>,cs,23}

4> **receive**

4>     {Conv,ft,F} -> io:format("23 celsius = -p fahrenheit-n",[F])

4> **end.**

23 celsius = 73.4 fahrenheit

ok

5>

[Ex. 2] Write an erlang function `copy` that receives an integer  $n$  and if  $n$  is positive it prints  $n$  copies of  $n$  (one per line). Write an erlang function that receives a list of integers and spawn an instance of `copy` for each integer in the list.

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).
```

```
copy(N) when N > 0 ->
```

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).  
  
copy(N) when N > 0 -> copy(N,N);
```



# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).  
  
copy(N) when N > 0 -> copy(N,N);  
copy(_) ->
```

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).  
  
copy(N) when N > 0 -> copy(N,N);  
copy(_) -> true.
```

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).  
  
copy(N) when N > 0 -> copy(N,N);  
copy(_) -> true.  
  
copy(N,M) when N > 0 ->
```

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).
```

```
copy(N) when N > 0 -> copy(N,N);  
copy(_) -> true.
```

```
copy(N,M) when N > 0 -> io:format("~p~n",[M]),  
                           copy(N-1,M);
```

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).
```

```
copy(N) when N > 0 -> copy(N,N);  
copy(_) -> true.
```

```
copy(N,M) when N > 0 -> io:format("~p~n",[M]),  
                           copy(N-1,M);  
copy(_,_) -> true.
```

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).
```

```
copy(N) when N > 0 -> copy(N,N);  
copy(_) -> true.
```

```
copy(N,M) when N > 0 -> io:format("~p~n",[M]),  
                           copy(N-1,M);
```

```
copy(_,_) -> true.
```

```
listCopy(L) ->
```

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).
```

```
copy(N) when N > 0 -> copy(N,N);  
copy(_) -> true.
```

```
copy(N,M) when N > 0 -> io:format("~p~n",[M]),  
                           copy(N-1,M);  
copy(_,_) -> true.
```

```
listCopy(L) -> [ || N <- L ].
```

# Ex. 2, copy

```
-module(ex2).  
-export([copy/1,listCopy/1]).
```

```
copy(N) when N > 0 -> copy(N,N);  
copy(_) -> true.
```

```
copy(N,M) when N > 0 -> io:format("~p~n",[M]),  
                        copy(N-1,M);  
copy(_,_) -> true.
```

```
listCopy(L) -> [ spawn(ex2,copy,[N]) || N <- L ].
```



# Ex. 2, copy

Eshell V10.2.1 (abort with ^G)

1> **c(ex2).**

{ok,ex2}

2>

# Ex. 2, copy

Eshell V10.2.1 (abort with ^G)

1> **c(ex2).**

{ok,ex2}

2> **ex2:listCopy(lists:seq(1,5)).**

1

2

3

4

5

[<0.84.0>,<0.85.0>,<0.86.0>,<0.87.0>,<0.88.0>]

2

3

4

5

3

4

5

4

5

5

3>

On using the “right” primitives

## Advanced primitives for concurrency

Join patterns are very high-level

Based on the join calculus [FG96]

Integrated in some programming languages (Erlang, C#, etc.)

We'll see a combination of join patterns and actors introduced in [HHM<sup>+</sup>24a, HHM<sup>+</sup>24b]

- ▶ Novel specification of **fair join pattern matching** for actors
- ▶ Novel **stateful tree-based** matching algorithm with **proof of correctness**
- ▶ **JoinActors**: novel Scala 3 library for actors with fair join pattern matching

# What are Join Patterns?

- ▶ Coordination mechanism for **concurrent** message passing programs
- ▶ Introduced in Join Calculus (Fournet et al., POPL 1996)

# What are Join Patterns?

- ▶ Coordination mechanism for **concurrent** message passing programs
- ▶ Introduced in Join Calculus (Fournet et al., POPL 1996)
- ▶ Message passing programs may react to complex message sequences and conditions

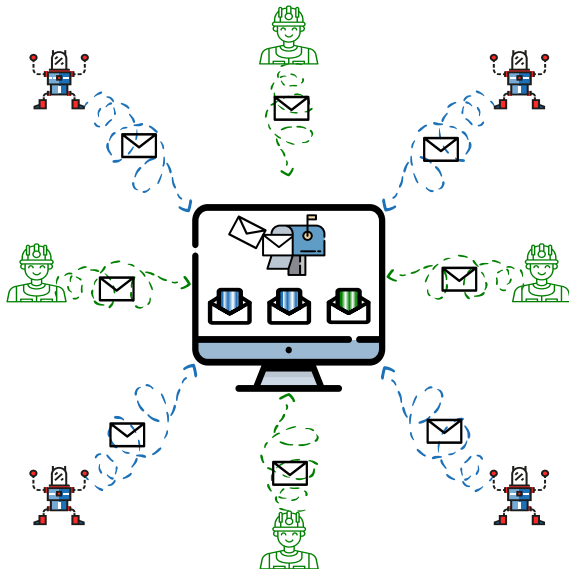
# What are Join Patterns?

- ▶ Coordination mechanism for **concurrent** message passing programs
- ▶ Introduced in Join Calculus (Fournet et al., POPL 1996)
- ▶ Message passing programs may react to complex message sequences and conditions
- ▶ Join patterns simplify specifying the **association of out-of-order messages**



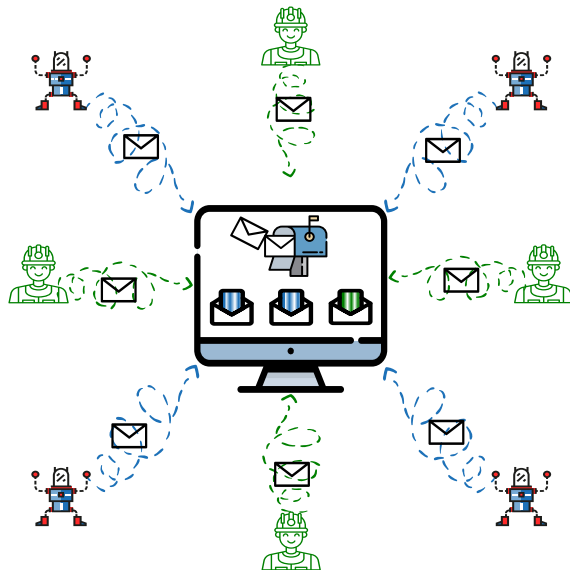
# Monitoring a Factory Shop Floor

- ▶ The monitoring program must associate machine **Fault** notifications to **Fix** notifications from workers



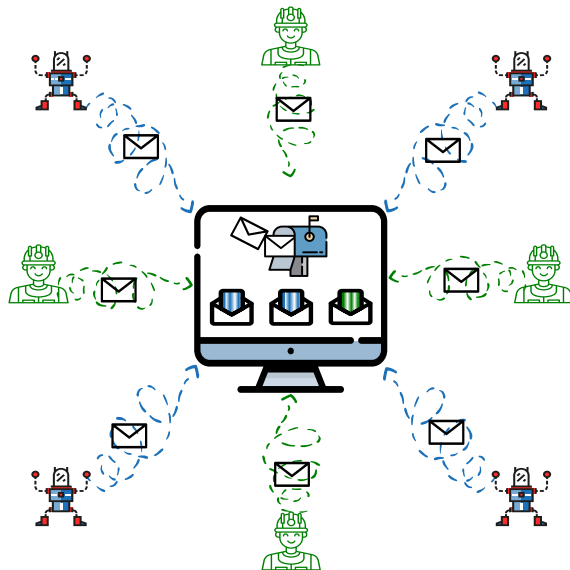
# Monitoring a Factory Shop Floor

- ▶ The monitoring program must associate machine **Fault** notifications to **Fix** notifications from workers
- ▶ Messages arrive **asynchronously** and **out-of-order**



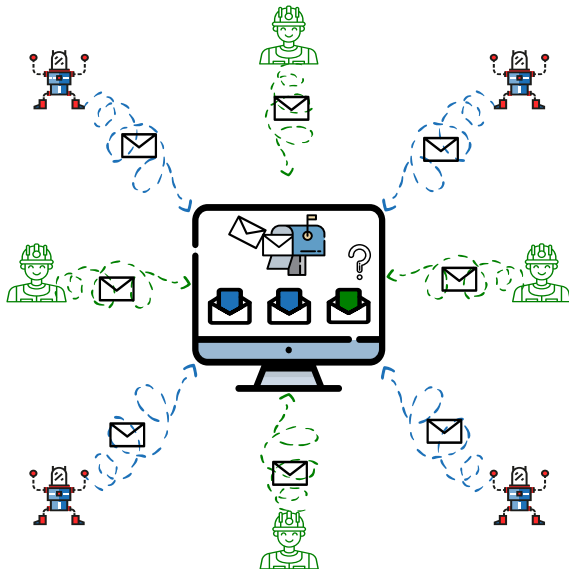
# Monitoring a Factory Shop Floor

- ▶ The monitoring program must associate machine **Fault** notifications to **Fix** notifications from workers
- ▶ Messages arrive **asynchronously** and **out-of-order**
- ▶ Monitor reacts to a combination of messages in the mailbox



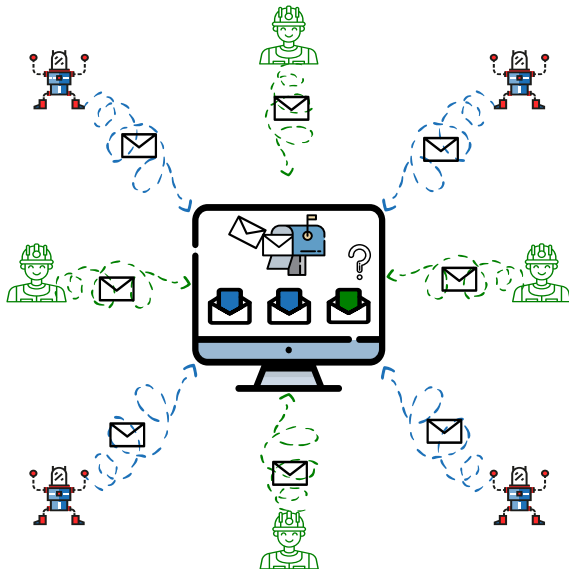
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- ▶ Traditionally, programmers write **custom code** for message association



# Monitoring a Factory Shop Floor

- ▶ The monitoring program must associate machine **Fault** notifications to **Fix** notifications from workers
- ▶ Messages arrive **asynchronously** and **out-of-order**
- ▶ Monitor reacts to a combination of messages in the mailbox
- ▶ Traditionally, programmers write **custom code** for message association (e.g., Akka/Pekko actors, Socket programming)



# Factory Shop Monitor Using JoinActors

Using our `JoinActors` library we can **declaratively** specify **order-independent message associations**



```

1 def monitor() = Actor[...] {
2   receive { (...) => {
3     case (Fault(id1, _), Fix(id2, _)) if id1 == id2 => ...
4
5     case (Fault(_, ts1), Fault(id2, ts2), Fix(id3, _))
6       if id2 == id3 && ts2 - ts1 > TEN_MIN => ...
7   }}
8 }

```

- Uses Scala 3 macros



# Join Patterns More Formally



Let  $D = \Pi_1 + \Pi_2$  where

$$\Pi_1 = \text{Fault}(id_1, -) \wedge \text{Fix}(id_2, -) \text{ if } id_1 = id_2$$

$$\Pi_2 = \text{Fault}(-, t_1) \wedge \text{Fault}(id_2, t_2) \wedge \text{Fix}(id_3, -) \text{ if } id_2 = id_3 \ \&\& \ t_2 - t_1 > 10min$$

Refer to the paper for more details

## Join Patterns Matching

The join definition for the factory shop floor monitor is  $D = \Pi_1 + \Pi_2$  where

$$\Pi_1 = \text{Fault}(id_1, -) \wedge \text{Fix}(id_2, -) \text{ if } id_1 = id_2$$

$$\Pi_2 = \text{Fault}(-, t_1) \wedge \text{Fault}(id_2, t_2) \wedge \text{Fix}(id_3, -) \text{ if } id_2 = id_3 \ \&\& \ t_2 - t_1 > 10min$$

Now consider the following mailbox  $\mathcal{M}$ :





## Join Patterns Matching

The join definition for the factory shop floor monitor is  $D = \Pi_1 + \Pi_2$  where

$$\Pi_1 = \text{Fault}(id_1, -) \wedge \text{Fix}(id_2, -) \text{ if } id_1 = id_2$$

$$\Pi_2 = \text{Fault}(-, t_1) \wedge \text{Fault}(id_2, t_2) \wedge \text{Fix}(id_3, -) \text{ if } id_2 = id_3 \ \&\& \ t_2 - t_1 > 10min$$

Now consider the following mailbox  $\mathcal{M}$ :

$\mathcal{M} =$



## Join Patterns Matching

The join definition for the factory shop floor monitor is  $D = \Pi_1 + \Pi_2$  where

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- ▶ In existing literature, the selection is either
  - ▶ **Non-deterministic** choice. This is usually undesirable
  - ▶ Pick **longest-matching sequence**

## Our Proposal: “Fair Match”

Recall that we have the following  $D = \Pi_1 + \Pi_2$  where:

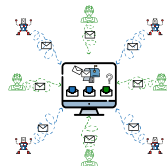
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And the following final mailbox configuration:

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- ▶ A “fair” match is the one that consumes the **oldest** messages in  $\mathcal{M}$
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$$D : \{ \{ \text{Fault}_3, \text{Fix}_4 \}, \{ \text{Fault}_1, \text{Fault}_3, \text{Fix}_4 \} \}$$

## “Fair” Match Formalisation

We have formalised this notion of “fair” join pattern matching declaratively using inference rules:

$$\frac{\forall i \in \{1, \dots, n\} : \mu_i \sigma = m_i \quad \gamma \sigma}{m_1 \dots m_n \models_{\sigma} \mu_1 \wedge \dots \wedge \mu_n \text{ if } \gamma} \text{ Match Messages Against Pattern}$$

$$\frac{\mathcal{M}[\mathcal{I}] \models_{\sigma} \Pi \text{ for some } \sigma}{\mathcal{M} \models_{\mathcal{I}} \Pi} \text{ Pick Messages From } \mathcal{M}$$

$$\frac{\mathcal{M} \models_{\mathcal{I}} \Pi \quad \forall \mathcal{I}'. (\mathcal{M} \models_{\mathcal{I}'} \Pi \implies \mathcal{I} \leq_{\text{lex}} \mathcal{I}')}{\mathcal{M} \models \Pi \leadsto \mathcal{I}} \text{ Select Fairest Match}$$

- ▶ Translate inference rules into a “fair” message matching **brute-force algorithm**
- ▶ Current implementations use matching without fairness e.g. (Haller et al. COORDINATION 2008, Plociniczak and Eisenbach COORDINATION 2010, Avila et al. 2020)
- ▶ Refer to the paper for more details

## Brute-force Algorithm for “Fair” Message Matching

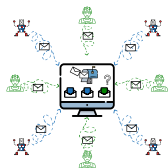
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and the following mailbox:

$\mathcal{M} =$



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- Find a match for  $\Pi_1$  from  $\mathcal{M}$

$$\mathcal{M}[1] : \langle \text{Fix}_1(\mathcal{Z}, -) \rangle$$



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$$\mathcal{M} = \text{Fix}_1(\mathcal{J}, -) \cdot \text{Fault}_2(1, -) \cdot$$

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# Stateful Tree-based Algorithm for “Fair” Message Matching

Use state to track **partial matches** and avoid redundant matching attempts

Recall that  $\Pi_1$ :

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$\mathcal{M} =$

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Check if  $id_1 = id_2$



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$$\emptyset \quad \perp_{\{\text{Fault}_1\}}$$

Check if  $id_1 = id_2$

- Not enough messages to match



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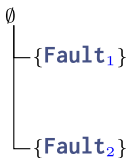
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- ▶ Not enough messages to match





# Stateful Tree-based Algorithm for “Fair” Message Matching

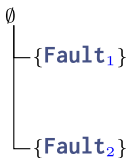
Use state to track **partial matches** and avoid redundant matching attempts

Recall that  $\Pi_1$ :

$$\Pi_1 = \text{Fault}(id_1, -) \wedge \text{Fix}(id_2, -) \text{ if } id_1 = id_2$$

and the following mailbox:

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Check if  $id_1 = id_2$

- Not enough messages to match



# Stateful Tree-based Algorithm for “Fair” Message Matching

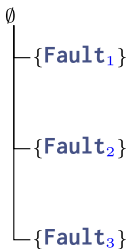
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# Stateful Tree-based Algorithm for “Fair” Message Matching

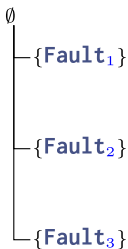
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Check if  $id_1 = id_2$

- Not enough messages to match



# Stateful Tree-based Algorithm for “Fair” Message Matching

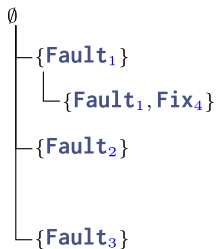
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Recall that  $\Pi_1$ :

$$\Pi_1 = \text{Fault}(id_1, -) \wedge \text{Fix}(id_2, -) \text{ if } id_1 = id_2$$

and the following mailbox:

$$\mathcal{M} = \text{Fault}_1(1, -) \cdot \text{Fault}_2(2, -) \cdot \text{Fault}_3(3, -) \cdot \text{Fix}_4(3, -)$$



Check if  $id_1 = id_2$



# Stateful Tree-based Algorithm for “Fair” Message Matching

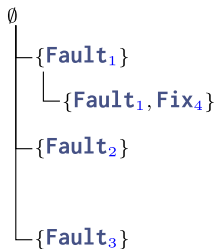
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$$\mathcal{M} = \text{Fault}_1(1, -) \cdot \text{Fault}_2(2, -) \cdot \text{Fault}_3(3, -) \cdot \text{Fix}_4(3, -)$$



Check if  $id_1 = id_2$

► **Attempt 1:**  $1 \neq 3$



# Stateful Tree-based Algorithm for “Fair” Message Matching

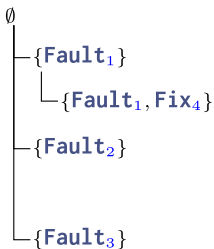
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Check if  $id_1 = id_2$

► **Attempt 1:**  $1 \neq 3 \times$



# Stateful Tree-based Algorithm for “Fair” Message Matching

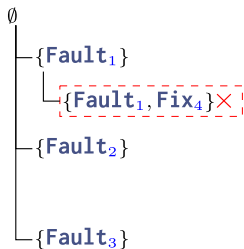
Use state to track **partial matches** and avoid redundant matching attempts

Recall that  $\Pi_1$ :

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Check if  $id_1 = id_2$

► **Attempt 1:**  $1 \neq 3$  ✗



# Stateful Tree-based Algorithm for “Fair” Message Matching

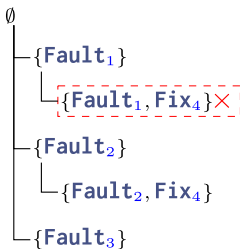
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# Stateful Tree-based Algorithm for “Fair” Message Matching

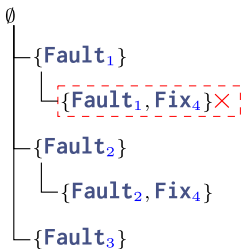
Use state to track **partial matches** and avoid redundant matching attempts

Recall that  $\Pi_1$ :

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Check if  $id_1 = id_2$

- **Attempt 1:**  $1 \neq 3$  ✗
- **Attempt 2:**  $2 \neq 3$



# Stateful Tree-based Algorithm for “Fair” Message Matching

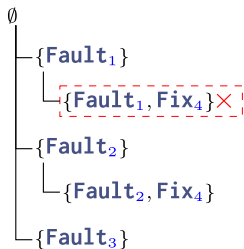
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Check if  $id_1 = id_2$

► **Attempt 1:**  $1 \neq 3 \times$

► **Attempt 2:**  $2 \neq 3 \times$



# Stateful Tree-based Algorithm for “Fair” Message Matching

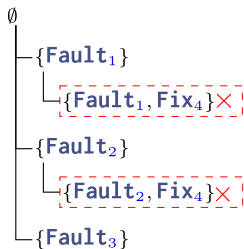
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Check if  $id_1 = id_2$

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# Stateful Tree-based Algorithm for “Fair” Message Matching

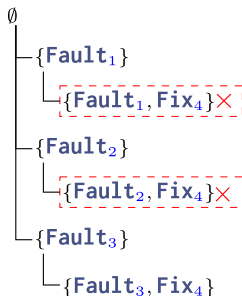
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Check if  $id_1 = id_2$

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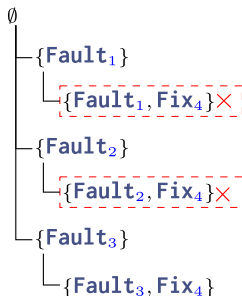
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Check if  $id_1 = id_2$

- **Attempt 1:**  $1 \neq 3$  ✗
- **Attempt 2:**  $2 \neq 3$  ✗
- **Attempt 3:**  $3 = 3$



# Stateful Tree-based Algorithm for “Fair” Message Matching

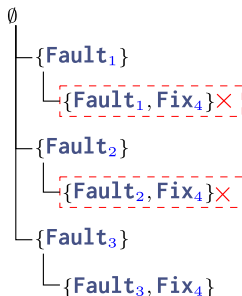
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# Stateful Tree-based Algorithm for “Fair” Message Matching

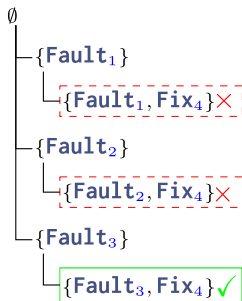
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Check if  $id_1 = id_2$

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# Stateful Tree-based Algorithm for “Fair” Message Matching

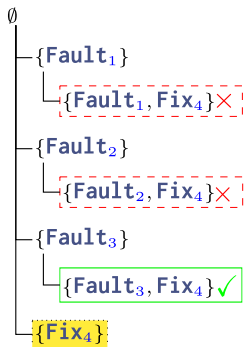
Use state to track **partial matches** and avoid redundant matching attempts

Recall that  $\Pi_1$ :

$$\Pi_1 = \text{Fault}(id_1, -) \wedge \text{Fix}(id_2, -) \text{ if } id_1 = id_2$$

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$$\mathcal{M} = \text{Fault}_1(1, -) \cdot \text{Fault}_2(2, -) \cdot \text{Fault}_3(3, -) \cdot \text{Fix}_4(3, -)$$



Check if  $id_1 = id_2$

► **Attempt 1:**  $1 \neq 3$  ✗

► **Attempt 2:**  $2 \neq 3$  ✗

► **Attempt 3:**  $3 = 3$  ✓

We don't record a partial match  $\text{Fix}_4$  because we matched earlier





## Tree Construction (continued)

We now consider the second join pattern  $\Pi_2$ :

$$\Pi_2 = \text{Fault}(-, t_1) \wedge \text{Fault}(id_2, t_2) \wedge \text{Fix}(id_3, -) \text{ if } id_2 = id_3 \ \&\& \ t_2 - t_1 > 10min$$

and the following mailbox:

$$\mathcal{M} = \text{Fault}_1(1, 10:35) \cdot \text{Fault}_2(2, 10:40) \cdot \text{Fault}_3(3, 10:55) \cdot \text{Fix}_4(3, 11:00)$$



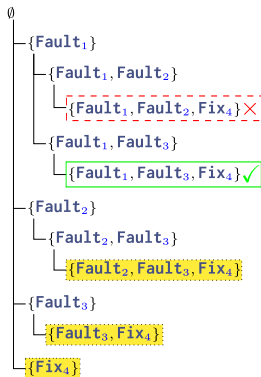
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Check if  $id_2 = id_3 \ \&\& \ t_2 - t_1 > 10min$ :

► **Attempt 1:**

$$1 = 3 \ \&\& \ 10:40 - 10:35 > 10min \ \times$$

► **Attempt 2:**

$$3 = 3 \ \&\& \ 10:55 - 10:35 > 10min \ \checkmark$$

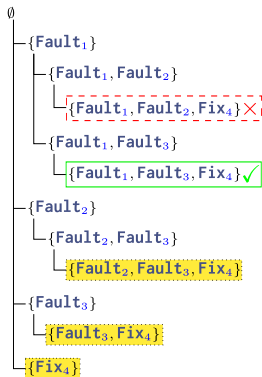
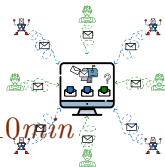
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and the following mailbox:

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Check if  $id_2 = id_3 \ \&\& \ t_2 - t_1 > 10min$ :

► **Attempt 1:**

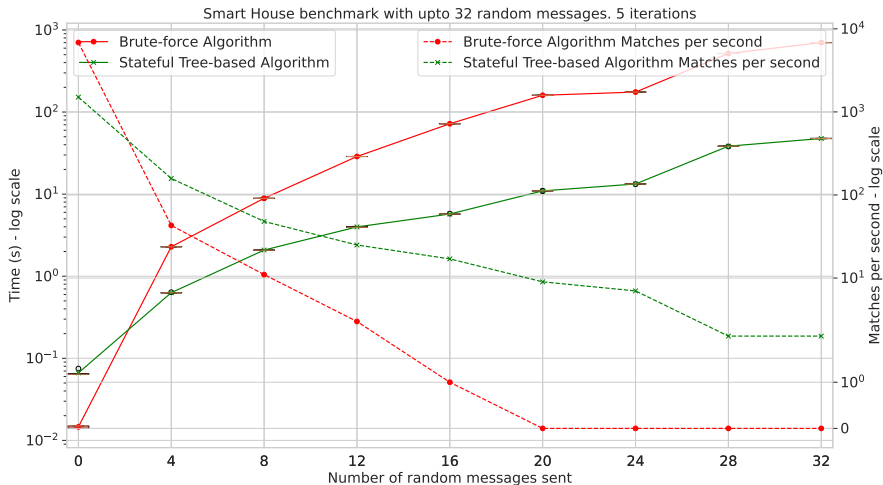
$$1 = 3 \ \&\& \ 10:40 - 10:35 > 10min \ \times$$

► **Attempt 2:**

$$3 = 3 \ \&\& \ 10:55 - 10:35 > 10min \ \checkmark$$

We avoid computing (partial) matches

# Performance Evaluation



**Figure:** Smart House benchmark based on (Rodriguez-Avila et al. 2021)

# Contributions & Future Work

## Contributions:

- ▶ Novel specification of **fair and deterministic join pattern matching**
- ▶ Novel **stateful tree-based matching algorithm** to avoid redundant recomputations
- ▶ **Proof of correctness** of the stateful fair matching algorithm
- ▶ **JoinActors**: novel Scala 3 library with brute-force & stateful matching
- ▶ Established a **benchmark suite** to evaluate join pattern matching performance

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- ▶ **JoinActors**: novel Scala 3 library with brute-force & stateful matching
- ▶ Established a **benchmark suite** to evaluate join pattern matching performance

## Future Work:

- ▶ Expand benchmark suite with more examples from the literature
- ▶ Refine and optimise the Scala 3 implementation of join patterns
- ▶ Alternative matching policies
- ▶ Verify join pattern unreachability

# Smart House Example (Rodriguez-Avila et al. 2021) I

```

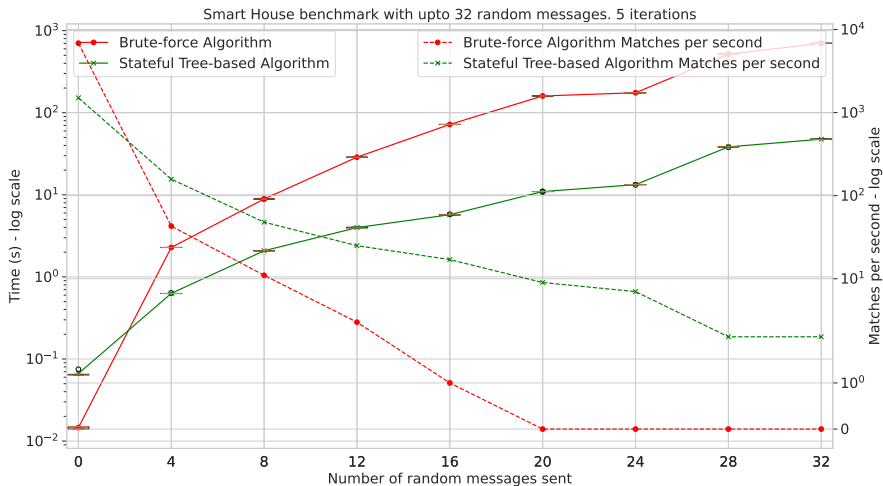
1  case (Motion(_, mStatus, mRoom, t0),
2      AmbientLight(_, value, alRoom, t1),
3      Light(_, lStatus, lRoom, t2)) if bathroomOccupied(...) => ...

4  case (Motion(_, mStatus0, mRoom0, t0),
5      Contact(_, cStatus, cRoom, t1),
6      Motion(_, mStatus1, mRoom1, t2)) if occupiedHome(...) => ...

7  case (Motion(_, mStatus0, mRoom0, t0),
8      Contact(_, cStatus, cRoom, t1),
9      Motion(_, mStatus1, mRoom1, t2)) if emptyHome(...) => ...

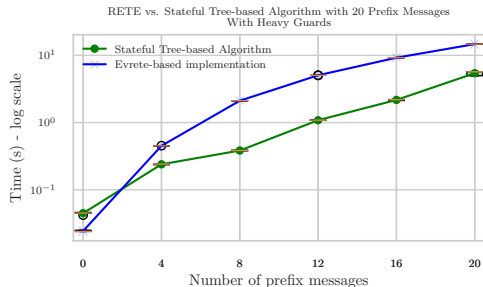
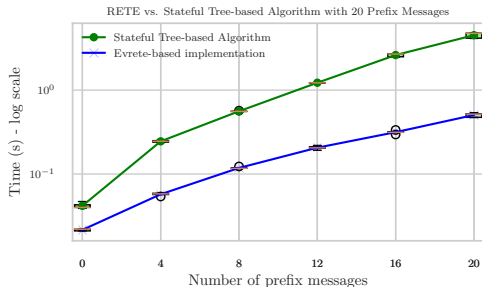
```

# Smart House Example (Rodriguez-Avila et al. 2021) II





# JoinActors vs. Evrete Benchmark



JoinActors vs. Evrete (lower is better)

- ▶ Evrete is a mature and highly optimised RETE-based Java rule engine library
- ▶ JoinActors is our proof-of-concept Scala 3 actor library

JoinActors vs. Evrete (lower is better)

## Join Patterns Implementation in Scala 3

```
1 inline def receive[M, T](  
2     inline f: ActorRef[M] => PartialFunction[Any, Result[T]]  
3 ): MatchingAlgorithm => Matcher[M, Result[T]]
```

# Macro Expansion & Code Transformation

## The body of receive:

```

1  ...
2  expr.asTerm match
3    case Inlined(_, _, Block(_, Block(stmts, _))) =>
4      stmts.head match
5        case DefDef(_, List(TermParamClause(params)), _, Some(Block(_,
6          ↪ Block(body, _)))) =>
7          body.head match
8            case DefDef(_, _, _, Some(Match(_, cases))) =>
9              cases.flatMap { generateJoinPattern[M, T](_) }
10 ...

```

# A problem in concurrency [Tro94]

## Problem Definition

Santa Claus sleeps in his shop up at the North Pole, and can only be wakened by either all nine reindeer being back from their year long vacation on the beaches of some tropical island in the South Pacific, or by some elves who are having some difficulties making the toys. One elf's problem is never serious enough to wake up Santa (otherwise, he may **never** get any sleep), so, the elves visit Santa in a group of three. When three elves are having their problems solved, any other elves wishing to visit Santa must wait for those elves to return. If Santa wakes up to find three elves waiting at his shop's door, along with the last reindeer having come back from the tropics, Santa has decided that the elves can wait until after Christmas, because it is more important to get his sleigh ready as soon as possible. (It is assumed that the reindeer don't want to leave the tropics, and therefore they stay there until the last possible moment. They might not even come back, but since Santa is footing the bill for their year in paradise ... This could also explain the quickness in their delivering of presents, since the reindeer can't wait to get back to where it is warm.) The penalty for the last reindeer to arrive is that it must get Santa while the others wait in a warming hut before being harnessed to the sleigh.

## A Solution

The solution that has worked best over the years, and also appears to be the simplest, is written using C statements and pseudo-code. (Constants are also used in case the number of reindeer were to change, or if the group size of "solution-seeking" elves is modified.) Basically, the reindeer arrive, update the count of how many have arrived, and the last one wakes up Santa. An elf, upon discovering a problem, attempts to modify the count for the number of elves with a problem and either: waits outside Santa's shop if he/she is the first or second such elf; knocks on the door and wakes up Santa if that elf is the third one; or waits in the elves' shop until the elves currently with Santa start coming back. (The code for this solution can be found in the Appendix.)

```
1  receive
2    {reindeer, Pid1} and {reindeer, Pid2} and {reindeer, Pid3}
3    and {reindeer, Pid4} and {reindeer, Pid5} and {reindeer, Pid6}
4    and {reindeer, Pid7} and {reindeer, Pid8} and {reindeer, Pid9} ->
5      io:format("Ho, ho, ho! Let's deliver presents!\n"),
6      [Pid1, Pid2, Pid3, Pid4, Pid5, Pid6, Pid7, Pid8, Pid9];
7  {elf, Pid1} and {elf, Pid2} and {elf, Pid3} ->
8    io:format("Ho, ho, ho! Let's discuss R&D possibilities!\n"),
9    [Pid1, Pid2, Pid3]
10 end
```

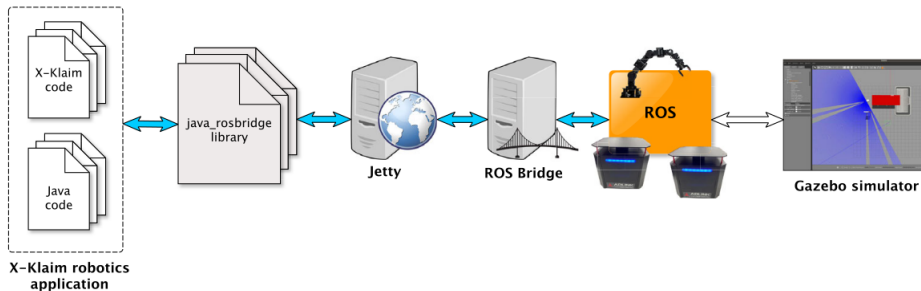
The solution with semaphores takes about 2 pages of C code [Tro94]!

# Applying Concurrency with generative communication [CG89]

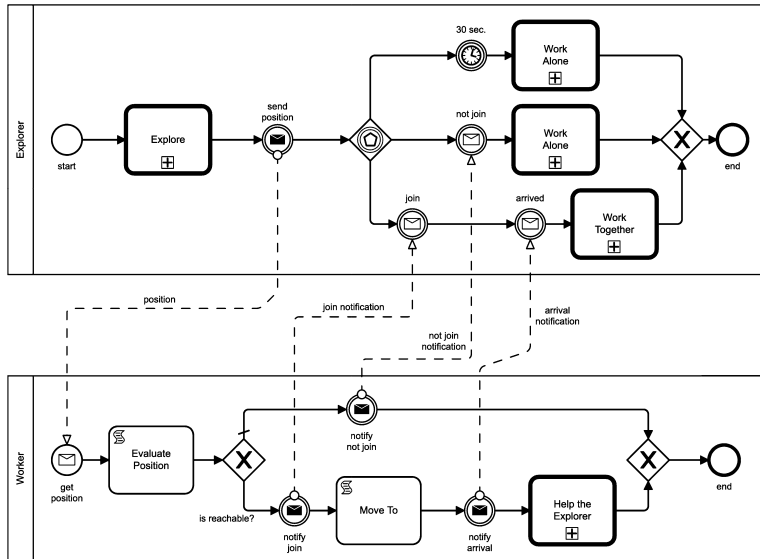
# A model-driven approach for multi-robots missions [BTBS26]

Multi-robot application are complex: robots' interactions are “low-level”

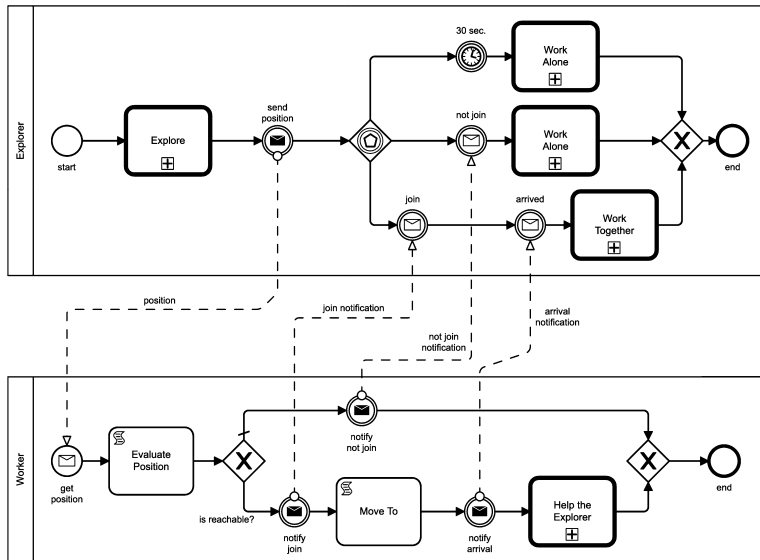
Model-driven development based on BPMN and X-KLAIM lowers barriers



# Business Process Modelling Notation [BTBS26]



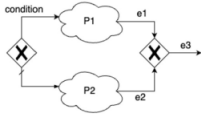
# Business Process Modelling Notation





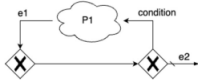
# From BPMN to X-Klaim [BTBS26]

XOR



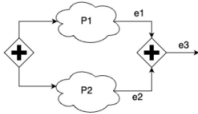
```
if(condition){
  translate(P1)
  in(e1)@self }
else{
  translate(P2)
  in(e2)@self }
out(e3)@self
```

Loop



```
while(condition){
  translate(P1)
  in(e1)@self }
out(e2)@self
```

AND



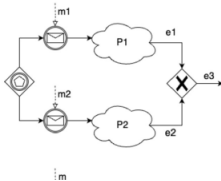
```
eval(new ProcP1())@self
eval(new ProcP2())@self
in(e1)@self
in(e2)@self
out(e3)@self
```

*// Processes to be  
// added to the node*

```
proc ProcP1(){
  translate(P1)
}
```

```
proc ProcP2(){
  translate(P2)
}
```

Event-Based  
(between  
messages)



```
var eventOccured = false
while(!eventOccured){
  if(in(m1,vars1)@self within pollTimeout){
    eventOccured = true
    translate(P1)
    in(e1)@self }
  else if (in(m2,vars2)@self within pollTimeout){
    eventOccured = true
    translate(P2)
    in(e2)@self } }
out(e3)@self
```

## Network-aware programming and generative communication: X-KLAIM: eXtended Kernel Language for Agents Interaction and Mobility

### Network

```
net MRS {  
  node Drone { eval(new DroneBehavior(Tractor)) @ self }  
  node Tractor { eval(new TractorBehavior()) @ self }  
}
```

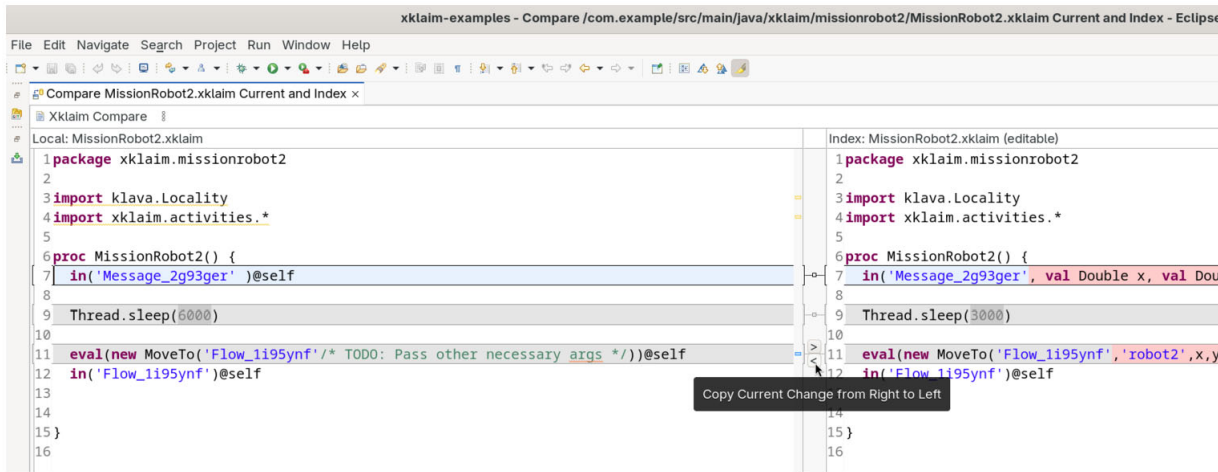
where

### Some processes

```
proc DroneBehavior(Locality Tractor) {  
  eval(new WeedHandler(Tractor)) @ self  
  eval(new TakeOff("e1")) tractor @ self  
  in("e1") @ self  
  eval(new Explore("e2")) @ self  
  in("e2") @ self  
  eval(new Land("e3")) @ self  
  in("e3") @ self  
}
```

```
proc TractorBehavior() {  
  in(WEED_POSITION, var Double x, var Double y) @ self  
  eval(new MoveTo("e4", x, y)) @ self  
  in("e4") @ self  
  eval(new CutGrass("e5")) @ self  
  in("e5") @ self  
  eval(new ReturnToBase("e6")) @ self  
  in("e6") @ self  
}
```

# Programming support



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